



PATENT #3
Attorney Docket No. UM-06340

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Peter A. Ward *et al.*

Serial No.: 09/878,603

Filed: 06/11/01

Entitled: Compositions And Methods For The Treatment Of Sepsis

Group No.:

Examiner:

#3/A
9nd
1/5/02

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: October 12, 2001

By: Traci E. Light

Traci E. Light

Sir or Madam:

In response to the Notice to File Missing Parts of Nonprovisional Application, mailed July 12, 2001, requesting that a Sequence Listing be provided, Applicants submit this Preliminary Amendment to provide as a separate part of the disclosure, a "Sequence Listing" pursuant to 37 C.F.R. §§ 1.821-1.825. Applicants also submit herewith in paper copy the Sequence Listing.

Please amend the application as follows:

IN THE SPECIFICATION:

Please insert the Sequence Listing as pages 50-66.

IN THE CLAIMS:

Please renumber the Claims pages from page "50-53" to --67-70--.

IN THE ABSTRACT:

Please renumber the Abstract page from page "54" to --71--.



Applicants respectfully request entry of this Preliminary Amendment prior to examination of the present application.

Dated:

Peter G. Carroll
Registration No. 32,837

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The following are the names of the persons who have been
 appointed as members of the Board of Directors of the
 company, and their respective offices: